

HESG
SF424

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

*** 2. Type of Application:**

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

1371379770000

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Oakland, California (City of Oakland)

* b. Employer/Taxpayer Identification Number (EIN/TIN):

94-6000384

* c. Organizational DUNS:

1371379770000

d. Address:

* Street1:

150 FRANK H. OGAWA PLAZA

Street2:

Fourth Floor

* City:

OAKLAND

County/Parish:

ALAMEDA COUNTY

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

94612-2010

e. Organizational Unit:

Department Name:

Health & Human Services

Division Name:

Community Housing Services

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Lara

Middle Name:

R.

* Last Name:

Tannenbaum

Suffix:

Title: Community Housing Services Manager

Organizational Affiliation:

City of Oakland

* Telephone Number:

510-238-6187

Fax Number:

510-238-3661

* Email:

ltannenbaum@oaklandca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant/Entitlement Grant

*** 12. Funding Opportunity Number:**

(HESG-20) E-20-MC-06-0013

* Title:

HEARTH Emergency Solutions Grant/Entitlement Funds

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Oakland, CA (citywide)

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

ESG funds support the City of Oakland's Permanent Access To Housing Strategy; a roadmap to end homelessness in Oakland providing rapid rehousing and support services to the homeless in Oakland.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

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16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="660,016"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="\$660,016"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

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21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

* First Name:

Middle Name:

* Last Name:

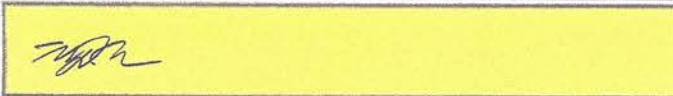
Suffix:

* Title:

* Telephone Number:

Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

HESG - CV

SF 424

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5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

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* a. Legal Name:

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* b. Employer/Taxpayer Identification Number (EIN/TIN):

94-6000384

* c. Organizational DUNS:

1371379770000

d. Address:

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150 FRANK H. OGAWA PLAZA

Street2:

Fourth Floor

* City:

OAKLAND

County/Parish:

ALAMEDA COUNTY

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

94612-2010

e. Organizational Unit:

Department Name:

Health & Human Services

Division Name:

Community Housing Services

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* First Name:

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Middle Name:

R.

* Last Name:

Tannenbaum

Suffix:

Title:

Community Housing Services Manager

Organizational Affiliation:

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* Telephone Number:

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Fax Number:

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* Email:

ltannenbaum@oaklandca.gov

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C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant/Entitlement Grant CARES Act funding

*** 12. Funding Opportunity Number:**

(HESG-CV-20)E-20-MC-06-0013 CV

* Title:

HEARTH Emergency Solutions Grant/CARES Act Funding

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Oakland, CA (citywide)

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

ESG-CV funds support the City of Oakland's homeless population, providing rapid rehousing and services to prepare for, prevent and respond to the Coronavirus.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,275,917"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="\$2,275,917"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

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21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

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Authorized Representative:

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Middle Name:

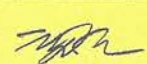
* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

CDBG

SF424

Application for Federal Assistance SF-424

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4. Applicant Identifier:

1371379770000

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name: Oakland, California (City of Oakland)

* b. Employer/Taxpayer Identification Number (EIN/TIN):

94-6000384

* c. Organizational DUNS:

1371379770000

d. Address:

* Street1: 250 FRANK H. CGAWA PLAZA

Street2: SUITE 5313

* City: OAKLAND

County/Parish: ALAMEDA COUNTY

* State: CA: California

Province:

* Country: USA: UNITED STATES

* Zip / Postal Code: 94612-2010

e. Organizational Unit:

Department Name:

HOUSING & COMMUNITY DEVELOPMEN

Division Name:

COMMUNITY DEVELOPMENT BLOCK GR

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

*** First Name:**

Gregory

Middle Name:

Donnell

*** Last Name:**

Garrett

Suffix:

Title: Community Development Block Grant Manager

Organizational Affiliation:

City of Oakland


* Telephone Number: 510-238-6183

Fax Number: 510-238-3691

* Email: ggarrett@oaklandca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government 

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

*** 12. Funding Opportunity Number:**

CDBG20 B-20-MC-06-0013

* Title:

Community Development Block Grant

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

[Add Attachment](#)

[Delete Attachment](#)

[View Attachment](#)

*** 15. Descriptive Title of Applicant's Project:**

Oakland's CDBG program support public service, housing, economic development, public facility rehabilitation, and homeless services activities, predominantly benefitting low/moderate-income residents.

Attach supporting documents as specified in agency instructions.

[Add Attachments](#)

[Delete Attachments](#)

[View Attachments](#)

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="7,705,609"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="850,000"/>
* g. TOTAL	<input type="text" value="8,555,609"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

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- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
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*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

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** I AGREE

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Authorized Representative:

Prefix: * First Name:

Middle Name:


* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

CDBG-CV

SF424

Application for Federal Assistance SF-424

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4. Applicant Identifier:

1371379770000

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Oakland, California (City of Oakland)

* b. Employer/Taxpayer Identification Number (EIN/TIN):

94-6000384

* c. Organizational DUNS:

1371379770000

d. Address:

* Street1:

250 FRANK H. OGAWA PLAZA

Street2:

SUITE 5313

* City:

OAKLAND

County/Parish:

ALAMEDA COUNTY

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

94612-2010

e. Organizational Unit:

Department Name:

HOUSING & COMMUNITY DEVELOPMEN

Division Name:

COMMUNITY DEVELOPMENT BLOCK GR

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

* First Name:

Gregory

Middle Name:

Donnell

* Last Name:

Garrett

Suffix:

Title:

Community Development Block Grant Manager

Organizational Affiliation:

City of Oakland

* Telephone Number:

510-238-6183

Fax Number:


510-238-3691

* Email:

ggarrett@oaklandca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government 

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants CARES Act Funding

*** 12. Funding Opportunity Number:**

CDBG-CV20 B-20-MC-06-0013

* Title:

Community Development Block Grant-CARES Act (CDBG-CV)

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Oakland's CDBG-CV funds will be used to prepare for, prevent and respond to the impacts of the Coronavirus pandemic.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="4,532,841"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="\$4,532,841"/>

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Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

HOPWA

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1371379770000

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

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94-6000384

* c. Organizational DUNS:

1371379770000

d. Address:

* Street1: 150 FRANK H. OGAWA PLAZA

Street2: Fourth Floor

* City: OAKLAND

County/Parish: ALAMEDA COUNTY

* State: CA: California

Province:

* Country: USA: UNITED STATES

* Zip / Postal Code: 94612-2010

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Department Name:

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Division Name:

Community Housing Services

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Middle Name:

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* Last Name:

Tannenbaum

Suffix:

Title: Community Housing Services Manager

Organizational Affiliation:

City of Oakland

* Telephone Number: 510-238-6187

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* Email: ltannenbaum@oaklandca.gov

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Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.241

CFDA Title:

Housing Opportunities for Persons With AIDS/Entitlement Grants

*** 12. Funding Opportunity Number:**

(HOPWA-20)CAH20F001

* Title:

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Oakland Eligible Metropolitan Statistical Area

*** 15. Descriptive Title of Applicant's Project:**

City of Oakland HOPWA program supports housing, housing development and support/housing services for persons living with HIV/AIDS and their households to stabilize their lives while housed.

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="3,078,240"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="\$3,078,240"/>

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Prefix: * First Name:
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Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

HOME
SF424

Application for Federal Assistance SF-424

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- Revision

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* c. Organizational DUNS:

1371379770000

d. Address:

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e. Organizational Unit:

Department Name:

HOUSING & COMMUNITY DEVELOPMEN

Division Name:

COMMUNITY DEVELOPMENT BLOCK GR

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Christia

Middle Name:

Katz

* Last Name:

Mulvey

Suffix:

Title: Housing Development Manager

Organizational Affiliation:

City of Oakland

* Telephone Number:

510-238-3623

Fax Number:

510-238-3691

* Email:

cmulvey@oaklandca.gov

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C: City or Township Government 

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Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:
HOME Investment Partnerships Program/Entitlement Grants

*** 12. Funding Opportunity Number:**

(HOME-20)N-20-MC-06-0208

* Title:
HOME Investment Partnerships Program

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

*** 15. Descriptive Title of Applicant's Project:**

City of Oakland HOME program funds support the expansion of affordable housing in Oakland.

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

16. Congressional Districts Of:

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* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="3,173,979"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="\$3,173,979"/>

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Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

HOPWA-CV

SF424

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

*** 2. Type of Application:**

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

1371379770000

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Oakland, California (City of Oakland)

* b. Employer/Taxpayer Identification Number (EIN/TIN):

94-6000384

* c. Organizational DUNS:

1371379770000

d. Address:

* Street1:

150 FRANK H. OGAWA PLAZA

Street2:

Fourth Floor

* City:

OAKLAND

County/Parish:

ALAMEDA COUNTY

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

94612-2010

e. Organizational Unit:

Department Name:

Health & Human Services

Division Name:

Community Housing Services

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Lara

Middle Name:

R.

* Last Name:

Tannenbaum

Suffix:

Title:

Community Housing Services Manager

Organizational Affiliation:

City of Oakland

* Telephone Number:

510-238-6187

Fax Number:

510-238-3661

* Email:

ltannenbaum@oaklandca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government 

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Community Development

11. Catalog of Federal Domestic Assistance Number:

14.241

CFDA Title:

Housing Opportunities for Persons With AIDS/Entitlement Grants CARES Act Funding (HOPWA-CV)

*** 12. Funding Opportunity Number:**

(HOPWA-CV-20) CAH20F001

* Title:

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS CARES ACT

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Oakland Eligible Metropolitan Statistical Area

Add Attachment

Delete Attachment

View Attachment

*** 16. Descriptive Title of Applicant's Project:**

City of Oakland HOPWA program program supports housing and services for persons living with ADIS to prepare for, prevent and respond to the Coronavirus.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant:

* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	447,972
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	\$447,972

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.


Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

SF424Ds

1. CDBG
2. CDBG-CV
3. ESG-CV
4. ESG
5. HOME
6. HOPWA-CV
7. HOPWA

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19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

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		City Administrator	
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City of Oakland		09/15/2020	

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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
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PROGRAM SPECIFIC CERTIFICATIONS

1. CDBG
2. HOME
3. ESG
4. HOPWA
5. APPENDIX

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.


Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official
City Administrator

6/13/2020

Date

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) ~~2019 (FY 2019/20)~~²⁰ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official
City Administrator

6/13/2020

Date

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature of Authorized Official
City Administrator

6/13/2020

Date

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG

program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official
City Administrator

6/13/2020

Date

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official
City Administrator

6/13/2020
Date

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



INTER OFFICE MEMORANDUM

TO: Mr. Ed Reiskin, Office of the City Administrator
FROM: Shola Olatoye, Director – Department of Housing & Community Development
SUBJECT: Corrected Forms and Certifications for the City’s 2020/21 – 2024/25 Consolidated Annual Action Plan
DATE: September 11, 2020

Please find attached for your signature, mandatory U. S. Department of Housing & Urban Development (HUD) “SF-424D” and “SF424” forms for the following Fiscal Year (FY) 2020/21 HUD Entitlement Grant awards to the City:

1. Community Development Block Grant (CDBG)
2. CDBG CARES Act (CV)
3. HOME Investment Partnerships
4. Emergency Solutions Grant (ESG)
5. ESG-CV
6. Housing Opportunities for Persons With AIDS (HOPWA)
7. HOPWA-CV

HUD requires a separate SF424D form for each grant listed above, to be submitted with the City’s Consolidated Plan. Prior SF424D forms forwarded to your office in June 2020 for signature combined like programs and; therefore, must be resubmitted to HUD.

Second, the SF424 forms submitted to your office for ESG and ESG-CV included incorrect grant record numbers, requiring these forms to be corrected and resubmitted as well.

Our apologies for this inconvenience. For further information regarding this matter, please contact Greg Garrett, CDBG Manager at ggarrett@oaklandca.gov or 510.697.6444.

Sincerely,

Shola Olatoye,
Director